

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Docket No.
113197-006

Under 37 CFR 1.97(b) or 1.97(c))

In Re Application Of:

Matsuo, S., et al.

Serial No.

09/786,855

Filing Date

March 9, 2001

Examiner

Unknown

Group Art Unit

2874

Title:

DISPERSION SHIFTED-OPTICAL FIBER

Address to:

Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
2. a Notice of Allowance under 37 CFR 1.311,

whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

OR

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____)

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Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on July 19, 2001 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.97 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

Signature of Person Mailing Correspondence

Robert J. Buccieri

Typed or Printed Name of Person Mailing Correspondence

*This certificate may only be used if paying by deposit account.

Dated: July 19, 2001

Signature

Michael S. Leonard (Reg. No. 37,557)
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CC:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
INFORMATION DISCLOSURE STATEMENT

APPLICANT(S): Matsuo, S. et al. DOCKET NO.: 113197-006
SERIAL NO: 09/786,855 GROUP ART UNIT: 2874
INT. APP. NO. PCT/JP00/04620 EXAMINER: Unknown
INTERNATIONAL FILING DATE: July 11, 2000
FILING DATE: March 9, 2001
INVENTION: DISPERSION SHIFTED OPTICAL FIBER

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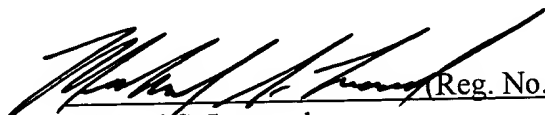
**Assistant Commissioner for Patents
Washington, D.C. 20231**

S I R:

In accordance with the provisions of 37 C.F.R. 1.56, Applicants request that citation and consideration of the references identified on the attached PTO-1449 form, copies of which are enclosed herewith in accordance with 37 C.F.R. 1.98, be made during the course of examination of the above-mentioned application for United States Patent.

English abstracts are enclosed for JP 10-062640, JP 11-119045, JP 6-11620, WO 96/07942, WO 00/31573 and WO 00/52507 and the two Institute of Electronics, Information and Communication Engineers technical reports.

Respectfully submitted,

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